



3. Does your district have a contract or formal agreement with another organization to perform any of the following functions?

**NONE, except CCR&R MOU with State, performed by Integrated Community Planning, which is not part of the above funding.**

Function:

Organization:

Amount of Contract:

- Eligibility screening
- Screening of legally-exempt providers
- Assistance in locating care
- Child Care Information Systems
- Other

**APPENDIX G-2**

**II. Other Eligible Families if Funds are Available (Required Section)**

Listed below are optional categories of eligible families that your district can include as part of its ICP. Select any categories your district wants to serve and describe any limitations associated with the category.

Optional Categories	Option	Limitations
1. Public Assistance (PA) families participating in an approved activity in addition to their required work activity.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Upon family request
2. PA families or families with income up to 200% of the State Income Standard when the caretaker is:		
a) participating in an approved substance abuse treatment program	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Upon family request.
b) homeless	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
c) a victim of domestic violence	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
d) in an emergency situation of short duration	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
3. Families with an open child protective services case when child care is needed to protect the child.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4. Families with income up to 200% of the State Income Standard when child care services are needed because the child's caretaker:		
a) is physically or mentally incapacitated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Requires written verification from physician of incapacity to provide care.
b) has family duties away from home	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to actively seek employment for a period up to 6 months.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Up to 6 weeks subject to funding availability.

6. PA families where a sanctioned parent is participating in unsubsidized employment, earning wages at a level equal to or greater than the minimum amount under law.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
7. Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to participate in:		
a) a public or private educational facility providing a standard high school curriculum offered by or approved by the local school district	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Subject to funding limitations.
b) an education program that prepares an individual to obtain a NYS High School equivalency diploma	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b>
c) a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b>
d) a program providing literacy training designed to help individuals improve their ability to read and write;	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b>
e) English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading and writing the English language for individuals whose primary language is other than English	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b>
f) a two year full-time degree granting program at a community college, a two year college, or an undergraduate college with a specific vocational goal leading to an associate degree or certificate of completion	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b>
g) a training program, which has a specific occupational goal and is conducted by an institution licensed or approved by the State Education Department other than a college or university	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b>

<p>h) a prevocational skill training program such as, a basic education and literacy training program</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p><b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b></p>
<p>i) a demonstration project designed for vocational training or other project approved by the Department of Labor.</p> <p>The parent/caretaker must complete the selected programs listed under number seven within 30 consecutive calendar months. The parent/caretaker cannot enroll in more than one program.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p><b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b></p>
<p><b>8.</b> PA recipients and low income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year program other than one with a specific vocational sequence (leading to an associates degree or certificate of completion and that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity) as long as the parent(s) or caretaker is also working at least 17 ½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p><b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b></p>
<p><b>9.</b> PA recipients and low income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year college or university program (other than one with a specific vocational sequence) leading to an associates degree or a certificate of completion that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity as long as the parent(s) or caretaker is also working at least 17 ½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p><b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. Subject to funding limitations.</b></p>

<p><b>10.</b> PA recipients and low income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a four-year college or university program (leading to a bachelor degree and that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity) as long as the parent(s) or caretaker is also working at least 17 ½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p><b>If part of a WIA-approved &amp; monitored case management plan designed to move the individual toward employment/self-reliance. The parent/caretaker must be able to demonstrate his/her ability to successfully complete the course of study within 2 years of the start of the child care subsidy. Subject to funding limitations.</b></p>
<p>11. Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to participate in a program to train workers in a employment field that currently is or is likely to be in demand in the near future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program, provided that child care services are only used for the portion of the day the caretaker is able to document is directly related to the caretaker engaging in such a program.</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

## APPENDIX G-3

### III. Reasonable Distance, Very Low Income, Family Share, Case Closings and Openings, and Fraud and Abuse Control Activities\_ (Required Section)

#### Reasonable Distance

Your district is required to define reasonable distance based on community standards for determining accessible child care.

The following defines "reasonable distance": **Childcare is accessible when travel distance is no more than 1 hour between the appropriate childcare provider and the participant's home, the child's school, or the participant's employment/work activity location. The one-hour travel is defined as any means of transportation including but not limited to walking, public transportation, or use of a personal vehicle. Appropriate childcare will be considered accessible when a TANF recipient identifies a provider on their own or the agency provides a referral to the Child Care Council resulting in a minimum of two referrals meeting the above criteria.**

Describe any steps/consultations made to arrive at your definition: **In preparing the Oswego County definition, we consulted with representatives from the TA, Child Support, and Employment and Training units as well as the local Child Care Council.**

#### Very Low Income

Very Low Income is defined by each district and is used in determining priorities for child care benefits.

Very Low Income is defined as **200%** of the State Income Standard.

#### Family Share

Family share is the weekly amount paid towards the costs of the child care services by the child's parent or caretaker. In establishing family share, your district must select a percentage from 10% to 35% to use in calculating the family share. The family share of child care is calculated by applying the family share percentage against the amount of the family's annual gross income that is in excess of the State Income Standard divided by 52.

Family Share Percentage selected by our district **10%**.

**Case Closings** (select one or two)

1. The district must describe below how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Described below is how the district will select cases to be closed in the event that there are insufficient or no funds available.

2. The district has not established priorities beyond the federally-mandated priorities. If all NYSCCBG funds are committed, case closings for families which are not eligible under a child care guarantee and are not a federally-mandated priority must be based on the length of time in receipt of services. The length of time used to close cases may be based either on the shortest or longest time receiving child care services but must be consistent for all families. The district has chosen to close cases based on:

- shortest time receiving child care services
- longest time receiving child care services

**Case Openings**

The district must describe below how priority is given to federally mandated priorities and how the district will select cases to be opened in the event that there are insufficient funds available.

**Cases will be opened from the waiting list in the following order:**

**1. The guaranteed populations will be serviced first. Priority will be given to employed Temporary Assistance (TA) recipients or those participating in mandated employment and training activities. Families eligible for Transitional services whose TA case closed due to excess earned income, child support, client's request, or the client chooses to receive day care assistance in lieu of TA.**

**If funding still exists once the guaranteed population is open, the following categories of families with income below 200% of the poverty level will be opened:**

- 1. Families requiring child care to accept or retain (subsidized or unsubsidized) employment.**
- 2. Teen parents attending high school or an equivalency program**
- 3. Families requiring child care to attend remedial education, basic literacy training, ESL, vocational training program, a two-year associates' degree/certificate program or a four-year degree program which can be completed within two years from the start of child care subsidy.**
- 4. Children with special needs**



### **Fraud and Abuse Control Activities**

The district must identify below the criteria it will use to determine which child care subsidy applications suggest a higher than acceptable risk for fraudulent or erroneous child care subsidy payment and procedures for referring such applications to the district's front end detection system.

**Oswego County intends to amend our FEDS plan and add Childcare for our district. Our district will therefore generate a FEDS investigation for applicants that meet the following criteria:**

- \* Documentation or information provided is inconsistent with application**
- \* Previous case closing or overpayment resulting from an investigation**
- \* Provider lives in the same household as parent**

The district must describe below its sampling methodology used to determine which cases it will seek verification of an applicant or recipient's continued need for child care including, as applicable, verification of participation in employment, education or other required activities.

**To ensure that we are reviewing cases that may not be in receipt of any other service or assistance other than child day care, we will, six months after annual recertification, require that the family provide/mail in documentation on employment, income, child care unit make-up, and any other changes that may have occurred in their case. Failure to provide this documentation will result in a ten (10) day notice to close the case.**

The district must describe below its sampling methodology used to determine which child care providers of subsidized child care services they will review for the purpose of comparing the child care providers attendance forms for children receiving subsidized child care services and any child and adult care food program inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

**We will identify the 68 providers that participate in CACFP and cross reference this to a list of providers of subsidized child care. We will then have a single list of CACFP providers that have subsidized children. We will then use random sampling to select 5 providers each month to verify that child was in care on the days listed on the attendance forms. By using this method we guarantee that each provider/child will be a 'hit'.**

## APPENDIX G-4

### IV. District Options (Required Section)

Districts have certain flexibility to administer the child care subsidy program to meet local needs. Check which options that your district wishes to include in your county plan. Complete attachments for any area(s) checked.

1.  Our district has identified local priorities in addition to the federal priorities (complete Appendix G-5).
2.  Our district has chosen to establish funding set-asides for NYSCCBG (complete Appendix G-6).
3.  Our district is using Title XX funds for the provision of child care services (complete Appendix G-7).
4.  Our district has chosen to establish additional local standards for child care providers (complete Appendix G-8).
5.  Our district has chosen to make payments to child care providers for absences (complete Appendix G-9).
6.  Our district has chosen to make payments to child care providers for program closures (complete Appendix G-10).
7.  Our district has chosen to pay for transportation to and from a child care provider (complete Appendix G-11).
8.  Our district has chosen to pay up to a 15% higher than the applicable market rates for regulated child care services that have been accredited by a nationally recognized child care organization (complete Appendix G-11).
9.  Our district has chosen to pay up to 15% higher than the applicable market rates for non-traditional hours (complete Appendix G-11).
10.  Our district has chosen to pay up to 75% of the enhanced market rate for legally-exempt family and in-home child care providers who have completed 10 hours of training and the training has been verified by the legally-exempt care giver enrollment agency (complete Appendix G-11).
11.  Our district has chosen to pay for child care services while a caretaker who works the second or third shift sleeps (complete Appendix G-11).
12.  Our district has chosen to make payments to child care providers who provide child care services, which exceed 24 consecutive hours (complete Appendix G-12).

13.  Our district has chosen to include 18, 19 or 20 year olds in the Child Care Services Unit (complete Appendix G-12).
14.  Our district is seeking a waiver from one or more regulatory provisions. Such waivers are limited to those regulatory standards that are not specifically included in law (complete Appendix G- 12).
15.  Our district has chosen to pay for breaks in activity for low income families (non public assistance families, complete Appendix G-12).
16.  Our district has chosen to use local equivalent forms such as, but not limited to, child care application, client notification and/or legally exempt enrollment forms (attach copies of the local equivalent forms your district uses).

**APPENDIX G-9  
PAYMENT TO CHILD CARE PROVIDERS FOR ABSENCES**

The following providers are eligible for payment for absences:  
(Check any that are eligible)

- Day Care Center                       Legally Exempt Group  
 Group Family Day Care               School Age Child Care  
 Family Day Care

Our district will only pay for absences to providers with which the district has a contract or letter of intent.  Yes  No

Base period selected (check one)     3 months               6 months

Number of absences allowed during base period:

<b>Period</b>	<b>Routine Limits (# of days)</b>	<b>Extenuating Circumstances (# of days)</b>	<b>Total Number of Absences Allowed (# of days)</b>
In a month	<b>2</b>	<b>1</b>	<b>3</b>
Base period	<b>9</b>	<b>3</b>	<b>12</b>

List reasons for absences for which the district will allow payment:

- Illness**  
**Unanticipated crisis**

List any limitations on the above providers' eligibility for payment for absences:

**Medical verification required for extenuating circumstances.**

Note: Legally exempt family child care and in-home child care providers are **not** eligible to receive payment for absences.

**APPENDIX G-11**  
**TRANSPORTATION, DIFFERENTIAL PAYMENT RATES, ENHANCED MARKET**  
**RATES FOR LEGALLY EXEMPT, SLEEP**

**Transportation**

Describe below under what circumstances and limitations if any your district will use to reimburse for transportation, what type of transportation will be reimbursed (public vs. private), and how much your district will pay (per mile or trip). Note if paying for transportation, Appendix F will need to reflect this choice.

**Differential Payment Rates**

Indicate below the percentage above the market rate your district has chosen.

Accredited Programs may receive a differential payment up to **5%** above market rate.

Care during non-traditional hours may be paid up to **10%** above market rate.

Limitations to the above differentials are as follows:

Payments may not exceed 15% above market rate. However, if your district wishes to establish a payment rate that is in excess of 15% above the applicable market rate must describe below why the 15% maximum is insufficient to provide access within the district to accredited programs and/or care provided during non-traditional hours.

**Enhanced Market Rate For Legally-Exempt Family and In-Home Child Care Providers**

If a district elects to establish a payment rate that is in excess of the enhanced market rate for legally- exempt family and in-home child care providers who have annually completed 10 or more hours of training and the training has been verified by the legally-exempt care giver enrollment agency. The district must state the percentage above the market rate it proposes to use.

Our district is requesting to increase the legally-exempt enhanced market rate up to \_\_\_percent of the applicable registered family day care market rate. Market rate may not exceed 75 percent (75%) of the child care market rates established for registered family day care.

A district that selects the option to increase the legally-exempt enhanced market rate must select one of the options listed below for implementation of the legally-exempt enhanced market rate:

for all legally-exempt family and in-home child care providers that have been approved by the applicable legally-exempt caregiver enrollment agency; or

for those legally-exempt family and in-home child care providers who were receiving the enhanced rate on the date of the regulations, but only for the remainder of their current one-year enrollment period; or

for those legally-exempt family and in-home child care providers who were receiving the enhanced rate on the date of the regulations, for the remainder of the time they remain enrolled and continue to meet the ten-hour annual training requirement.

### **Sleep**

The following describes the standards that will be used in evaluating whether or not to pay for child care services while a parent or caretaker that works a second or third shift sleeps and any limitations pertaining to payment:

**If funding is available, allowing up to 8 hours of childcare services for those who work a 2<sup>nd</sup> or 3<sup>rd</sup> shift.**

Indicate the number of hours allowed by your district (maximum number of hours allowed is eight). **Up to 8 hours.**

**APPENDIX G-12**  
**CHILD CARE EXCEEDING 24 HOURS, CHILD CARE SERVICES UNIT, WAIVERS,**  
**BREAKS IN ACTIVITIES**

**Child Care Exceeding 24 Hours**

Child care services may exceed 24 consecutive hours when such services are provided on a short-term emergency basis or in other cases where the caretaker's approved activity necessitates care for 24 hours on a limited basis.

Describe any limitations for payment of child care services that exceed 24 consecutive hours.

**Child Care Services Unit**

The Child Care Service Unit is the basis upon which a district will determine which household and/or family members should be counted in determining family size and countable family income.

Our district will include the following in the Child Care Services Unit (check which ones apply).

18 year old       19 year old       20 year old

**-OR-**

Our district will include only the following in the Child Care Services Unit when it will benefit the family (check which ones apply).

18 year old       19 year old       20 year old

Describe the criteria your district will use to determine whether or not an 18, 19 or 20 year old is included in the Child Care Services Unit. **Families may include an 18 year old child who is a full-time high school student through the end of the school year (June). Also, a child who turns 19 during the school year may be included through the end of the school year as long as they are a full-time high school student. Income from the 18 or 19 years old will be included in determining eligibility for childcare services. Families will be given the option of removing the child and his/her income if it is detrimental to eligibility.**

**Waivers**

Districts have the authority to request a waiver of any regulatory provision that is non-statutory. Describe and justify why your district is requesting a waiver.

**Breaks in Activities**

Districts may pay for child care services for low income families during breaks in activities for a period not to exceed two weeks or for a period not to exceed one month where child care arrangements would otherwise be lost and the subsequent activity is expected to begin within that period (check one).

two weeks       four weeks

Districts may provide child care services while the caretaker is waiting to enter an approved activity or employment or on a break between approved activities. The following low income families are eligible for child care services during a break in activities (check any that are eligible):

entering an activity     waiting to begin employment     break between activities



**APPENDIX H  
ANNUAL PLAN UPDATE  
CHECKLIST**

**ADMINISTRATIVE COMPONENT – LOCAL DEPARTMENT OF SOCIAL SERVICES**

**Oswego County**

All Local Department of Social Services are required to complete this checklist. For each item below, please indicate whether there are any changes to report. For each item that is answered yes, a response is required. Items without a NO choice require a response. Please note that Appendices G-1 through G-4 must be completed.

- | <u><b>YES</b></u>                   | <u><b>NO</b></u>                    |   |
|-------------------------------------|-------------------------------------|---|
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | I. Financing Process  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | a. General Information  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | b. Purchase of Services   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | c. Performance or Outcome Based Provisions  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | II. Monitoring Procedures   |
|                                     |                                     | III. Appendices   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | a. Appendix A – Legal Assurances  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | b. Appendix B – Summary of Memorandum of Understanding with the District Attorney’s Office for Child Protective Services                      |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | c. Appendix C – Estimate of Persons to be Served  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | d. Appendix D – Non-Residential Services to Victims of Domestic Violence  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | e. Appendix E – Chafee Foster Care Independence Program Use of Allocations  |
| <input checked="" type="checkbox"/> | <input type="checkbox"/>            | f. Appendix F – Department of Social Services – Program Information Matrix  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | g. Appendix G – Child Care  |
| <input checked="" type="checkbox"/> | <input type="checkbox"/>            | 1. Appendix G-1 – Administration  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 2. Appendix G-2 – Other Eligible Families if Funds are Available  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 3. Appendix G-3 – Reasonable Distance, Very Low Income, Family Share, Case Closings and Case Openings, and Fraud and Abuse Control Activities |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 4. Appendix G-4 – Districts Options   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 5. Appendix G-5 – Priority Populations  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 6. Appendix G-6 – Funding Set-Asides  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 7. Appendix G-7 – Title XX Child Care   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 8. Appendix G-8 – Additional Local Standards for Child Care Providers   |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 9. Appendix G-9 – Payment to Child Care Providers for Absences  |
| <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 10. Appendix G-10 – Payment for Child Care Providers for Program Closures   |

- 11. Appendix G-11 – Transportation, Differential Payment Rates, and Sleep
- 12. Appendix G-12 – Child Care Exceeding 24 Hours, Child Care Service Unit, Waivers, Break in Activities